

Attachment 3 [FFY 2015 Update, July 2014]: Overall Goal Calculation (§ 26.45)

Amount of Goal

1. Orange County's overall goal for FFY 2015 is the following: 3.41% of the Federal Financial assistance we will expend in FTA-assisted contracts, exclusive of FTA funds used for the purchase of transit vehicles.
2. Orange County expects to award \$5,000,000.00 of FTA-assisted contracts during FFY 2015. If the 3.41% DBE participation is met, the County expects to expend at least \$170,500 on DBE contracts or subcontracts during this fiscal year.

Methodology used to Calculate Overall Goal

Step 1: 26.45(c)

The method used to calculate the relative availability of DBEs for "Step 1" was by using the available DBE lists (New York State UCP Directory) and US Census Bureau Data. Information from both lists was gathered for NYSDOT Region 8, comprised of the counties of Columbia, Dutchess, Orange, Putnam, Rockland, Ulster, and Westchester. Industries deemed relevant and included in numerator and denominator calculations include the following North American Industry Classification System (NAICS) categories:

- Engineering Services (541330)
- Highway, Street, and Bridge Construction (237310)
- Administration of Urban Planning and Community and Rural Development (925120)
- Regulation and Administration of Transportation Programs (926120)
- Other Scientific and Technical Consulting Services (541690)
- Administrative Management and General Management Consulting Services (541611)

The base figure for the relative availability of DBE's was calculated by dividing the total number of ready, willing, and able firms/contractors by the number of ready, willing, and able DBEs, as follows:

Ready, willing, and able DBEs (numerator):	46	
	-----	= 3.41%
All firms ready, willing and able (denominator)	1350	

When we divided the numerator by the denominator we arrived at the base figure for our overall goal and that number was: **3.41%**

Step 2: 26.45(d)

After calculating the base figure of the relative availability of DBEs, Orange County considered whether to make adjustments to the base figure in order to arrive at the overall participation goal. No adjustments were made.